



OFFICE OF PAPERS
ORIGINALLY FILED

Attorney Docket No.: 50277-1786

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jay Rossiter, et al.

Confirmation No. 4251

Application No.: 09/945,135

Group Art Unit: 2172

Filed: August 31, 2001

Examiner: NYA

Title: TECHNIQUES FOR MANAGING CONFIGURATION FOR A SYSTEM OF DEVICES
ARRANGED IN A NETWORK

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231

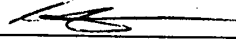
Sir:

Please furnish the undersigned with a corrected filing receipt for the above-identified patent application, deleting the name of inventor **DIMITRIS NAKOS**. Attached are the following which are submitted herewith in support of this change:

- (1) Copy of a Response to Request for Corrected Filing Receipt mailed 2/25/02;
- (2) Petition Under 37 C.F.R. §1.48(b)(1);
- (3) Fee of \$130.00 as set forth in 37 C.F.R. §1.17(h);
- (4) Copy of the filing receipt received from the USPTO indicating the required change.

Respectfully submitted,

By


Brian D. Hickman
Reg. No. 35,894

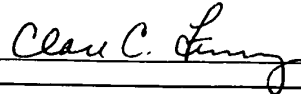
Date: March 13, 2002
Telephone No.: (408) 414-1080 ext. 201
Hickman Palermo Truong & Becker LLP
1600 Willow Street
San Jose, California 95125-5106

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231

on March 13, 2001

by





APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/945,135	08/31/2001	Jay Rossiter	50277-1786

29989
HICKMAN PALERMO TRUONG & BECKER, LLP
1600 WILLOW STREET
SAN JOSE, CA 95125

CONFIRMATION NO. 4251



MAR - 1 2002

Date Mailed: 02/25/2002

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Claims, Fees, and Inventors

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It may include additional claims fees and/or the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date; or it may not reflect fees refunded to the applicant that were paid by mistake.
- ☐ The number of claims reflected on the filing receipt is correct. Upon review of the claims, it was found that there was a miscalculation by the applicant. This may be due to improperly presented multiple dependent claims, typographical error, misnumbering of the claims, or other oversight. An amendment may be necessary to correct the problem.
- ☐ The filing fee reflected on the filing receipt is correct. Applicant may have miscalculated the fees due.
- ☐ Applicant calculated fees as other than small entity; however, applicant asserted small entity status in the application. Therefore, fees were applied as small entity and the remainder was refunded to the applicant.
- ☐ The difference between the fees paid and the fees due was refunded to the applicant and will not be shown on the filing receipt.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined).

DOCKETED

DATES:

3/1/02

3/25/02



- ☐ The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. (See MPEP 605.02).
- ☒ A petition to correct the inventorship is needed to make this change. See 37 CFR 1.48. For non-provisional applications, the petition should be directed to the Director of the examining group assigned to your application.
- ☐ Changes made after submission of an executed declaration to the inventor information other than correction of typographical errors must be submitted in the form of a substitute declaration. Change of inventorship requires a petition under 37 CFR 1.48.
- ☐ The number of drawings shown on the filing receipt reflects the number of drawing sheets submitted and is not necessarily equal to the number of figures submitted.
- ☐ The correspondence address was captured as directed by applicant on filing. If you wish correspondence to be directed otherwise, please submit a request for a change of address.
- ☐ The docket number allows a maximum of 25 characters.
- ☐ The person signing on behalf of the deceased inventor is reflected on the Filing Receipt as the legal representative.
- ☐ The filing date of a parent application cannot be changed by this request. A petition to correct the filing date in the parent application is required.

A - GIZAW

Customer Service Center
Office of Initial Patent Examination
(703) 308-1202



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jay Rossiter, et al.

Confirmation No. 4251

Application No.: 09/945,135

COPY OF PAPERS
ORIGINALLY FILED

Group Art Unit: 2172

Filed: August 31, 2001

Examiner: NYA

Title: TECHNIQUES FOR MANAGING CONFIGURATION FOR A SYSTEM OF DEVICES
ARRANGED IN A NETWORK

PETITION UNDER 37 C.F.R. §1.48(b)(1)

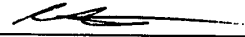
Commissioner for Patents
Washington, D.C. 20231

Sir:

A Petition under 37 C.F.R. §1.48(b)(1) is hereby made to delete the name of inventor DIMITRIS NAKOS who is not an inventor of the invention claimed in this application. This deleted inventor's invention is not being claimed in the instant Application. This application was filed as a result of the filing of a response to a restriction requirement on July 18, 2001 imposed by an Office Action on the prior application, Serial No. 09/240,229, filed January 29, 1999. The prior application was amended to cancel claims. Some of the canceled claims are the subject of the above-referenced, divisional patent application. The declaration for the prior application includes names of inventors who are not inventors for the claims of the above-referenced divisional patent application.

A check in the amount of \$130 is enclosed for the fee set forth in 37 C.F.R. §1.17(h). The Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 50-1302.

Respectfully submitted,

By 
Brian D. Hickman
Reg. No. 35,894

Date: March 13, 2002
Telephone No.: (408) 414-1080 ext. 201
Hickman Palermo Truong & Becker LLP
1600 Willow Street
San Jose, California 95125-5106

03/28/2002 BABRAHA1 00000038 09945135

01 FC:122

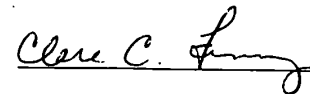
130.00 OF

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231

on March 13, 2002

by





MAR 25 2002
PATENT & TRADEMARK OFFICE

OF PAPER
ORIGINALLY FILED

Page 1 of 2

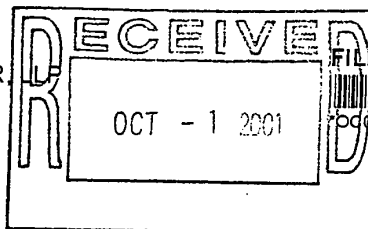
BOH | GM/CF

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

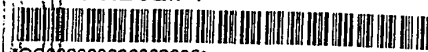
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/945,135	08/31/2001	2172	870	50277-1786	10	6	5

29989
HICKMAN PALERMO TRUONG & BECKER, LLP
1600 WILLOW STREET
SAN JOSE, CA 95125



CONFIRMATION NO. 4251

FILING RECEIPT



DOC00000006802385*

Date Mailed: 09/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jay Rossiter, Palo Alto, CA;
David Stowell, Cupertino, CA;
~~Dimitris Nakes, Palo Alto, CA;~~
Pari Bhaduri, Foster City, CA;
Venkat Malla, Fremont, CA;
Jane Chen, Millbrae, CA;
Ramkumar Venkataraman, Foster City, CA;
Yuanjiang Ou, Redwood City, CA;
Muthu Olagappan, Chennai, INDIA;
Thivakaram Prakash Sivakumar, Bangalore, INDIA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/240,229 01/29/1999

Foreign Applications

If Required, Foreign Filing License Granted 09/27/2001

Projected Publication Date: 01/03/2002

Non-Publication Request: No

Early Publication Request: No

DOCKETED

DATES:

10/3/01 k

DATA
BASE
ENTR

Title

Techniques for managing configuration for a system of devices arranged in a network

Preliminary Class

707

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).